

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet / of /

| Complete if Known | | |
|------------------------|-----------------|--|
| Application Number | 10/678,728 | |
| Filing Date | October 3, 2003 | |
| First Named Inventor | Robert C. Lam | |
| Group Art Unit | | |
| Examiner Name | | |
| Attorney Docket Number | 01132/BW01032 | |

| | | • | U.S. PATENT DOCU | MENTS | |
|----------------------|-------------|----------------------------|--------------------------------|---|---|
| Examiner Initials | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages |
| | No. | NumberKind Code (if known) | WINI-DD-1111 | | or Relevant Figures Appear |
| KJ | | US- 4,451,590 | 05/20/1984 | Fujimaki et al. | |
| 个 | | US- 4,524,169 | 06/18/1985 | Wolff et al. | |
| | T - | US- 4,700,823 | 10/20/1987 | Winckler | |
| | | US- 5,083,650 | 01/28/1992 | Seiz et al. | |
| | | US- 5,395,864 | 03/07/1995 | Miyoshi et al. | |
| | | US- 5,585,166 | 12/17/1996 | Kearsey | |
| | · · | US- 5,646,076 | 07/18/1997 | Bortz | |
| | | US- 5,662,993 | 09/27/1997 | Winckler | |
| | | US- 5,707,905 | 01/13/1998 | Lam et al. | |
| | | US- 5,753,356 | 05/19/1998 | Lam et al. | - |
| | | US- 5,816,901 | 10/06/1998 | Sirany | |
| | | US- 5,856,244 | 01/05/1999 | Lam et al. | |
| | | US- 5,858,883 | 01/12/1999 | Lam et al. | |
| | | US- 5,952,249 | 09/14/1999 | Gibson et al. | |
| | | US- 5,958,507 | 09/28/1999 | Lam et al. | |
| | 1 | US- 5,965,659 | 10/12/1999 | Smith et al. | |
| | | US- 5,989,375 | 11/23/1999 | Bortz | |
| | | US- 5,989,390 | 11/23/1999 | Lee | |
| KS | 1 | US- 5,998,307 | 12/07/1999 | Lam et al. | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | |
|----------------------|--------------------------|---|----------------|--------------|---|--------|--|--|
| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code (if known) | ' LOLON WAYN | | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Т | | |
| | +-+ | | | + | | + | | |
| | ╁╼╼╂╸ | | | | | +- | | |
| | 1 1 | | - | | | 1 | | |
| | | | | | | | | |
| | + | | | | | \top | | |

| Examiner Signature | Kulle Vanel | Date Considered | 9/65 |
|-----------------------|-------------|--------------------|----------|
| 0.8 | 7,500000 | | <u> </u> |

prod 10t

Complete if Known Substitute for form 1449A/PTO Application Number 10/678,728 Filing Date October 3, 2003 INFORMATION DISCLOSURE First Named Inventor Robert C. Lam STATEMENT BY APPLICANT Group Art Unit (Use as many sheets as necessary) Examiner Name Attorney Docket Number 01132/BW01032 Sheet

| Examiner | Cite | Docume | ent Number | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passage |
|----------|------|----------|---------------------|------------------|---|---|
| Initials | No. | NumberKi | ind Code (if known) | MM-DD-YYYY | | or Relevant Figures Appea |
| Kr | | US- | 6,001,750 | 12/14/1999 | Lam | |
| A | | US- | 6,130,176 | 10/10/2000 | Lam | |
| 7 | | US- | 6,194,059 | 02/27/2001 | Yesnik | |
| | | US- | 6,291,040 | 09/18/2001 | Moriwaki et al. | |
| . | | US- | 6,352,758 | 03/05/2002 | Huang et al. | |
| 7 7 | | US- | 6,387,531 | 05/14/2002 | Bi et al. | |
| 7 | | US- | 6,601,321 | 08/05/2003 | Kendall | |
| 1 | | US- | 6,630,416 B1 | 10/07/2003 | Lam et al. | |
| | | US- | 10/234,976 | 09/04/2002 | Chen et al. | |
| | | US- | 10/233,318 | 08/30/2002 | Chen et al. | |
| Κs | | US- | 10/218,019 | 08/13/2002 | Lam et al. | |
| ·- | | | | | | |
| | - | | | | | |
| | | | | | | |
| | | | _ | | | |
| | | | | | | |
| | | | | | | |
| | | | • | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|------------------------|--------------------------|--|--------------|--|---|--|--|--|--|
| Examiner . Initials | Cite No. | Foreign Patent Document Publication Date Country Code-Number-Kind Code (if known) MM-DD-YYYY | | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Т | | | |
| | | | | | | | | | |
| <u> </u> | | | | | | | | | |
| | + - † | | | | | 1 | | | |
| | 1 | | | | | | | | |

| Examiner Signature | Kully C | and en | Date Considered | 965 |
|-----------------------|---------|--------|--------------------|-----|
| | | | | |

(Information Disclosure Statement - FORM 1449A/PTO (PTO/SB/08A)

page of (1)

| / | 6 | ΓF | E | |
|-----|------------|------|------|----------|
| (3) | NUL | 0 1 | 2005 | C5033 |
| | Project of | HADI | MARY | <u> </u> |

| EMA | | | | Complete if Known | | |
|-----------|-----------------------------|----|----|------------------------|----------------------|--|
| | e for form 1449B/PTO | | | Application Number | 10/678,728 | |
| | SUPPLEMENTA RMATION DISC | _ | RE | Filing Date | October 3, 2003 | |
| 1 | STATEMENT BY APPLICANT | | | First Named Inventor | Robert c. Lam et al. | |
| (Use as 1 | many sheets as necessary) | | | Group Art Unit | 1714 | |
| | | | | Examiner Name | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 01132/01032 | |

| OTHER PR | IOR ART | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|---|----------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T⁴ |
| KS | | European Search Report - EP- 04 25 4829 - April 4, 2005 | |
| | | | |
| | | | |
| | <u>.</u> | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | <u> </u> |
| | | | |
| | | | |
| Examiner Signature | Swell | and Sandas Date Considered 9/05 | |

(Information Disclosure Statement -- Section 2. FORM 1449A/PTO (PTO/SB/08A) page __ of __)

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/678,728

Filing Date October 3, 2003

First Named Inventor Robert C. Lam et al.

Group Art Unit 1714

Examiner Name

Attorney Docket Number 01132/01032

(Use as many sheets as necessary)

| Sheet | ν | of | V | Attorney Docket Number | 01132 |
|-------|-------|----|---|------------------------|-------|
| | | | | | |

| | U.S. PATENT DOCUMENTS | | | | | | | |
|---------------|-----------------------|---------|----------------------|--|---|---------------------------|--|--|
| Examiner Cite | Document Number | | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | |
| Initials | No. | NumberK | Kind Code (if known) | MM-DD-YYYY | | or Relevant Figures Appea | | |
| ks | | US- | 6,182,804 | 02-06-2001 | Robert C. Lam | | | |
| | | US- | | | · | | | |
| | 1 | US- | _ | | | | | |
| | | US- | | | · | | | |
| | | US- | | | | | | |
| | 1 | US- | | | | | | |
| | | US- | | | | | | |
| | | US- | | | | | | |
| - | | US- | | | | | | |
| | | US- | | | | | | |
| | | US- | | | | | | |
| | | US- | • | | | | | |
| | | US- | | | | | | |
| | 1 | US- | | | | | | |
| | † | US- | | - | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | | |
|--------------------------|--------------|---|------------------|-------------------------------|---|----------|--|--|--|--|
| Examiner Initials | Cite No. | | Publication Date | Name of Patentee or | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Т | | | | |
| | | | MM-DD-YYYY | Y Applicant of Cited Document | | | | | | |
| Ks | | European Patent Application No.: EP 1 203 897 A1 | 05-08-2002 | Lam et al. | | | | | | |
| · | <u> </u> | | | | | <u> </u> | | | | |
| | | | | | | ╂ | | | | |
| | | · · · · · · · · · · · · · · · · · · · | | | | ╁ | | | | |

| | Examiner Signature | Sullia San Oera | Date Considered | 9/05 | |
|--|-----------------------|-----------------|--------------------|------|--|
|--|-----------------------|-----------------|--------------------|------|--|

(Information Disclosure Statement - FORM 1449A/PTO (PTO/SB/08A)

page __ of __)